

**CDBG-R Substantial Amendment
Dane County 2008 Annual Plan and
2004-2009 Consolidated Plan
For Funding Available Under the
American Recovery and Reinvestment Act of 2009**

Jurisdiction: Dane County	CDBG-R Contact Person: Lori Bastean
Jurisdiction Web Address: http://www.danecountyhumanservices.org/cdbg_reports.shtml	Address: 1202 Northport DR/4 th FL Madison, WI 53704
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ENSURING RESPONSIBLE SPENDING OF RECOVERY ACT FUNDS

This document represents Dane County's Substantial Amendment to the 2008 Annual Plan for funding available under the American Recovery and Reinvestment Act of 2009. The Recovery Act has clear purposes – to stimulate the economy through measures that modernize the Nation's infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. HUD strongly urged grantees to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings. While the full range of CDBG activities was available to grantees, the Department strongly suggested that grantees incorporate consideration of the public perception of the intent of the Recovery Act in identifying and selecting projects for CDBG-R funding.

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

As part of the Substantial Amendment, HUD required Grantees to provide information concerning CDBG-R assisted activities in an electronic spreadsheet provided by HUD. The information that must be reported in the spreadsheet included activity name, activity description, CDBG-R dollar amount budgeted, eligibility category, national objective citation, additional Recovery Act funds for the activity received from other programs, and total activity budget. This information follows on the next two pages.

JURISDICTION/GRANTEE NAME: DANE COUNTY		CDBG-R FORMULA GRANT AMOUNT: \$305,535				DATE: JUNE 3, 2009	
<i>ACTIVITY NAME</i>	<i>ACTIVITY DESCRIPTION</i>	<i>ELIGIBILITY (REGULATORY OR HCDA CITATION)</i>	<i>NATIONAL OBJECTIVE CITATION</i>	<i>CDBG-R PROJECT BUDGET (\$)</i>	<i>ADDITIONAL RECOVERY FUNDS (\$)</i>	<i>OTHER LEVERAGED FUNDING (\$)</i>	<i>TOTAL ACTIVITY BUDGET</i>
Early Childhood Initiative	Funds will be utilized to expand Dane County's Early Childhood Initiative to the Leopold School Attendance Area. These funds will allow for the creation of a new Family Support Specialist position at .5 FTE. This staff member will provide home visitation services to low-income expectant mothers and mothers with a child under the age of 1 year. The primary emphasis will be to improve parent child bonding and ensure that the child is age appropriate developed. Additionally an employment specialist will develop job training and job placement opportunities for the focal parent and members of his/her family. This activity is expected to generate 8 job placement opportunities for low-and-moderate income residents.	HUD Code 5, Public Services (General)	LMI – Limited Clientele	\$45,830	0	\$8,400	\$54,230
Project Home	Minor Home Repair - attempt to address some of the 86 households on the waiting list for minor home repairs. Eligible work up to \$5,000 for minor home repair includes repair or replacement of heating systems, water heater and other plumbing fixtures and piping, electrical systems, roof, siding, doors, windows, wheel chair ramp and other accessibility modifications, plus interior repairs needed for code or health and safety reasons. Would also make repairs to eligible homes so that they may qualify for home weatherization services. This activity would preserve jobs for local contractors and/or Project Home staff hired to work on these homes.	HUD Code 14A, Rehab; Single Unit Residential	LMH	\$29,152	0	\$35,000	\$64,152
Tellurian MMHI	Remodel some underused space at	HUD Code 3,	LMI – Limited	\$200,000	0	0	\$200,000

JURISDICTION/GRANTEE NAME: DANE COUNTY		CDBG-R FORMULA GRANT AMOUNT: \$305,535				DATE: JUNE 3, 2009	
<i>ACTIVITY NAME</i>	<i>ACTIVITY DESCRIPTION</i>	<i>ELIGIBILITY (REGULATORY OR HCDA CITATION)</i>	<i>NATIONAL OBJECTIVE CITATION</i>	<i>CDBG-R PROJECT BUDGET (\$)</i>	<i>ADDITIONAL RECOVERY FUNDS (\$)</i>	<i>OTHER LEVERAGED FUNDING (\$)</i>	<i>TOTAL ACTIVITY BUDGET</i>
Diversion	Tellurian to create 7 beds for consumers with challenging behaviors who are being discharged from Mendota Mental Health Institute (MMHI) or at risk of admission to MMHI. This would include unbreakable glass, reinforced doors, dual locks, accessible bathrooms, and other modifications. The kitchen area in an adjacent building would have the current rotted windows replaced with energy efficient ones. The facility would have 24 hour awake staff, and operations would be funded primarily by third-party reimbursement. In addition to jobs created in the construction sector, 11 FTE jobs would be created to staff the facility.	Public Facilities and Improvements (General)	Clientele				
Analysis of Impediments	Through a RFP process acquire a vendor to do an update to the required Analysis of Impediments to Fair Housing Choice. This is a 6-9 month project.	HUD Code 20 - Planning	24 CFR 570.208 (d)(4)	\$10,000	0	0	\$10,000
Administration	Reimbursement for appropriate costs for administering the CDBG-R activities.	HUD Code 21A – General Program Administration	24 CFR 570.208 (d)(4)	\$20,553	0	0	\$20,000

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

Activity 1

(1) Activity Name: Early Childhood Initiative

(2) Activity Narrative:

Funds will be utilized to expand Dane County’s Early Childhood Initiative to the Leopold School Attendance Area. These funds will allow for the creation of a new Family Support Specialist position at .5 FTE. This staff member will provide home visitation services to low-income expectant mothers and mothers with a child under the age of 1 year. The primary emphasis will be to improve parent child bonding and ensure that the child is age appropriate developed. Additionally an employment specialist will develop job training and job placement opportunities for the focal parent and members of his/her family.

CDBG–R funds will be used in a manner that maximizes job creation and economic benefit and will address the Recovery Act by:

- Hiring a 0.5 FTE Family Support Specialist;
- Through the work of the Employment Specialist, generate 8 employment training and placement opportunities. Staff will work with low-income residents to assist in employment opportunities – finding jobs, offering support to keep people in those jobs, and/or pursuing upward mobility opportunities.
- Assisting those most impacted by the recession through the work of the Family Support Specialist who will have an average caseload of 6 families on an ongoing basis serving 8-10 families per year.

(3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

Jobs	Permanent	Construction	Temporary
Full-time	4	-	-
Part-time	4.5	-	-

(4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

Budget is based upon a disbursement of \$23,415 annually – this will purchase a .5 FTE Family Support Specialist. A .2 FTE Employment Placement Specialist will be donated in-kind annually.

(5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

Dane County Department of Human Services
Children, Youth, and Families Division
1202 Northport DR/4th FL
Madison, WI 53704
Ron Chance
(608) 242-6388
chance@co.dane.wi.us

Activity 2

(1) Activity Name: Project Home – Minor Home Rehab

(2) Activity Narrative:

Minor Home Repair - attempt to address some of the 86 households on the waiting list for minor home repairs. Eligible work up to \$5,000 for minor home repair includes repair or replacement of heating systems, water heater and other plumbing fixtures and piping, electrical systems, roof, siding, doors, windows, wheel chair ramp and other accessibility modifications, plus interior repairs needed for code or health and safety reasons. Repairs would also be made to eligible homes so that they may qualify for home weatherization services. It is expected that 9 homes would be addressed with this funding.

It is anticipated these dollars will leverage home weatherization funds, through either the Low Income Weatherization Program, which serves households at 60% of statewide median income, or the Targeted Home Performance with Energy Star Program, which serves households at 80% of the statewide median income. Both of these programs recently raised their income guidelines, and it is anticipated that many of these home repair customers will be eligible for one of the programs. It is estimated that about \$35,000 in weatherization funding will be provided to these customers.

CDBG –R funds will be used in a manner that maximizes job creation and economic benefit and will address the Recovery Act by:

- Preserving jobs for local contractors and/or Project Home staff hired to work on these homes which would create 0.18 FTE temporary, part-time jobs;
- Assisting low-and-moderate income homeowners who are most impacted by the recession;
- Investing in energy efficiency improvements which will provide long-term economic benefits.

(3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

Jobs	Permanent	Construction	Temporary
Full-time	-	-	-
Part-time	-	-	0.18

(4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

This activity will promote energy conservation through making repairs needed for eligible homes to qualify for home weatherization assistance. In addition, the potential repairs include a number that promote energy conservation, including: repair or replacement of heating systems, water heater and other plumbing fixtures and piping, electrical systems, roof, siding, doors, windows,

(5) Responsible Organization:

Project Home, Inc.
1966 S Stoughton RD
Madison, WI 53716
Janis Reek, Director of Programs
(608) 246-3737

Activity 3

(1) Activity Name: Tellurian Rehab for MMHI Diversion

(2) Activity Narrative:

This project would remodel some underused space at Tellurian to create 7 beds for consumers with challenging behaviors who are being discharged from Mendota Mental Health Institute (MMHI) or at risk of admission to MMHI. This would include unbreakable glass, reinforced doors, dual locks, accessible bathrooms, and other modifications. The kitchen area in an adjacent building would have the current rotted windows replaced with energy efficient ones. The facility would have 24 hour awake staff, and operations would be funded primarily by third-party reimbursement.

CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Creating temporary jobs related to the rehabilitation of the facility, including, but not limited to: general laborers, architect/engineering, plumber, electrician, drywaller, and painter. Once completed, the facility will have staffing to provide 24/7 double coverage and 2-4 hours of nursing time per week. A rough estimate would be 11 FTE's along with clerical/admin/maintenance.
- Serving individuals who have little to no income and have significant disabilities, including mental illness, physical disabilities and brain injuries. A dual diagnosis of substance abuse may also be present.
- Providing services in the community is far more economically efficient than housing people in institutional settings that run about \$1000 a day. Within the community, third party reimbursement is possible for those on SSI or Social Security benefits, which defrays costs to the County.
- Renovations to the facility's infrastructure will provide a long-term program that can respond to the needs of consumers and do so within a value based recovery model.
- Renovations will contribute to the energy efficiency of the facility, thus decreasing ongoing electrical/gas costs. Energy efficient appliances, windows and doors would decrease year round operating costs that will benefit not only this program but several others that also access the kitchen facility.

(3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

Jobs	Permanent	Construction	Temporary
Full-time	11	5	-
Part-time	-	-	-

(4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

Renovations will contribute to the energy efficiency of the facility.

(5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

Tellurian, Inc.
300 Femrite DR
Monona, WI 53716
Kevin Florek, Executive Director
(608) 222-7311

Activity 4

- (1) Activity Name: *Analysis of Impediments to Fair Housing Choice*
- (2) Activity Narrative:
Through a RFP process acquire a vendor to do an update to the required Analysis of Impediments to Fair Housing Choice. This is a 6-9 month project. It is expected that this activity will address the Recovery Act by preserving and/or creating jobs for up to two individuals on a part-time basis with the contracted vendor.
- (3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

Jobs	Permanent	Construction	Temporary
Full-time	-	-	-
Part-time	-	-	1-2

- (4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

Not applicable.

- (5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

RFP will be prepared by:

Dane County Department of Human Services
CDBG Program
1202 Northport DR/4th FL
Madison, WI 53704
Lori Bastean, Senior Program Analyst/Manager
(608) 242-6420
bastean@co.dane.wi.us

And/Or

Ann McCartney, CDBG Program Specialist
(608) 242-6240
mccartney@co.dane.wi.us

Activity 5

(1) Activity Name: Administration

(2) Activity Narrative:

This activity will provide reimbursement for appropriate costs for administering the CDBG-R activities. It is expected that this investment will address the Recovery Act by providing oversight to increase economic efficiency in the expenditure to CDBG-R dollars.

(3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

Funding will be used to offset the costs of administering the CDBG-R activities for two existing positions: 1 Program Analyst/Manager and 1 CDBG Program Specialist.

Jobs	Permanent	Construction	Temporary
Full-time	-	-	-
Part-time	-	-	-

(4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

Not applicable.

(5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

Dane County Department of Human Services
CDBG Program
1202 Northport DR/4th FL
Madison, WI 53704
Lori Bastean, Senior Program Analyst/Manager
(608) 242-6420
bastean@co.dane.wi.us

C. PUBLIC COMMENT

Provide a summary of public comments received to the proposed CDBG-R Substantial Amendment.

Note: A Proposed CDBG-R Substantial Amendment must be published via the usual methods and posted on the jurisdiction's website for no less than 7 calendar days for public comment.

Response:

The proposed CDBG-R Substantial Amendment to the 2008 Consolidated Plan was posted on the County's web site beginning on May 28, 2009 through June 4, 2009. The CDBG Commission reviewed the proposed projects at their May 28, 2009 meeting. An e-mail notifying currently funded agencies – including those that serve persons with disabilities, such as Movin' Out – of the Substantial Amendment was sent out on June 1, 2009.

No public comments have been received at this time.

CERTIFICATIONS

(1) **Affirmatively furthering fair housing.** The jurisdiction will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

(2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.

(3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying.** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of Jurisdiction.** The jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and other program requirements.

(6) **Consistency with Plan.** The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3.** The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Following a plan.** The jurisdiction is following a current consolidated plan that has been approved by HUD.

(10) **Use of funds.** The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. **Special Assessments.** The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) **Excessive Force.** The jurisdiction certifies that it has adopted and is enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) **Compliance with anti-discrimination laws.** The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) **Compliance with lead-based paint procedures.** The activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K, and R of this title.

(14) **Compliance with laws.** The jurisdiction will comply with applicable laws.

(15) **Compliance with ARRA.** The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.

(16) **Project selection.** The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(17) **Timeliness of infrastructure investments.** When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

(18) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(19) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Alternatively, a grantee’s chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.

(20) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.

Signature/Authorized Official

Date

County Executive

Title

CDBG-R Substantial Amendment

Grantee Checklist

For the purposes of expediting review, HUD asks that applicants submit the following checklist along with the CDBG-R Substantial Amendment, Spreadsheet for Reporting Proposed CDBG-R Activities, and SF-424.

Contents of a CDBG-R Action Plan Substantial Amendment

Jurisdiction: Dane County Jurisdiction Web Address: http://www.danecountyhumanservices.org/cdbg_reports.shtml	CDBG-R Contact Person: Lori Bastean Address: 1202 Northport DR/4 th FL Madison, WI 53704 Telephone: (608) 242-6420 Fax: (608) 242-6293 E-Mail: bastean@co.dane.wi.us
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The elements in the substantial amendment required for the CDBG recovery funds are:

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Does the submission contain a paper copy of the Spreadsheet for Reporting Proposed CDBG-R Activities?

Yes No Verification found on page **2-3**

Does the submission include an electronic version of the Spreadsheet for Reporting Proposed CDBG-R Activities sent to the email box CDBG-R@hud.gov?

Yes No Date Spreadsheet was emailed: **6/4/2009**

Does the Spreadsheet for Reporting Proposed CDBG-R Activities include, for each activity:

- amount of funds budgeted for each activity, including CDBG-R funds, any additional Recovery Funds used and total activity budget,
 Yes No Verification found on page(s) **2-3**
- the Eligibility citation (eligibility regulatory cite or HCDA cite),
 Yes No Verification found on page(s) **2-3**
- the CDBG national objective citation,
 Yes No Verification found on page(s) **2-3**

B. CDBG-R INFORMATION BY ACTIVITY

Does the submission contain information by activity describing how the grantee will use the funds, including:

- a narrative for each activity describing how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit,
Yes No Verification found on page(s) **4-8**
- projected number of jobs created for each activity,
Yes No Verification found on page(s) **4-8**
- whether an activity will promote energy efficiency and conservation,
Yes No Verification found on page(s) **4-8**
- the name, location, and contact information for the entity that will carry out the activity,
Yes No Verification found on page(s) **4-8**
- evidence that no more than 10% of the grant amount will be spent on administration and planning,
Yes No Verification found on page (s) **3, 7-8**
- evidence that no more than 15% of the grant amount will be spent on public services,
Yes No Verification found on page (s) **2**
- evidence that at least 70% of the grant amount will benefit persons of low and moderate income,
Yes No Verification found on page (s) **2**

C. PUBLIC COMMENT PERIOD

Was the proposed action plan amendment published via the jurisdiction's usual methods and on the Internet for no less than 7 calendar days of public comment?

Yes No Verification found on page(s) **9**

Is there a summary of citizen comments included in the final amendment?

Yes No Verification found on page(s) **9**

D. CERTIFICATIONS

The following certifications are complete and accurate:

- | | | |
|---|---|-----------------------------|
| (1) Affirmatively furthering fair housing | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (5) Authority of jurisdiction | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (6) Consistency with plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (7) Section 3 | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (8) Community development plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (9) Following a plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

- | | | |
|--|---|-----------------------------|
| (10) Use of Funds | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (11) Excessive Force | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (12) Compliance with anti-discrimination laws | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (13) Lead-based paint procedures | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (14) Compliance with laws | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (15) Compliance with ARRA | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (16) Project selection | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (17) Timeliness of infrastructure investments | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (18) Buy American provision | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (19) Appropriate use of funds for infrastructure investments | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (20) 70% of CDBG-R for LMI | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

Optional Certification

- | | | |
|------------------|------------------------------|-----------------------------|
| (21) Urgent Need | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
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D. STATE CERTIFICATIONS

The following certifications are complete and accurate:

- | | | |
|--|------------------------------|-----------------------------|
| (1) Affirmatively furthering fair housing | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (5) Authority of State | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (6) Consistency with plan | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (7) Section 3 | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (8) Community development plan | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (9) Consultation with Local Governments | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (10) Use of Funds | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (11) Excessive Force | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (12) Compliance with anti-discrimination laws | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (13) Compliance with laws | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (14) Compliance with ARRA | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (15) Project selection | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (16) Timeliness of infrastructure investments | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (17) Buy American provision | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (18) Appropriate use of funds for infrastructure investments | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (19) 70% of CDBG-R for LMI | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

Optional Certification

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| (20) Urgent Need | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
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